The listing of claims will replace all prior versions and listings of claims in the application:

## **Listing of Claims:**

1. (Currently amended) A compound of the following formula (I)

wherein

 $R_1$  is selected from the group consisting of hydrogen, and linear or branched  $C_{1-6}$  alkyl,  $C_{6-10}$  arylalkyl, mono- or multi-substituted  $C_{6-10}$  arylalkyl, heterocyclic group and alkenyl, wherein the substituents are defined to be halogen,  $C_{1-4}$  linear or branched alkyl,  $C_{1-4}$  linear or branched alkoxy, nitro, amino, hydroxyl and carboxyl;

 $R_2$  is selected from the group consisting of hydrogen, carboxyl, ester group, carboxylate, acylamino and, acyl halide group, linear or branched  $C_{1-6}$  alkoxycarbonyl,  $C_{6-10}$  arylalkoxycarbonyl, mono- or multi-  $C_{6-10}$  arylalkoxycarbonyl, and or heterocyclic oxycarbonyl, wherein the substituents are defined as above;

 $R_3$  is selected from the group consisting of hydrogen, hydroxyl, linear or branched  $C_{1-6}$  alkoxy, and carboxylic esters, carboxylic salts,  $C_{6-10}$  arylalkoxy, and heterocyclic oxy group;

 $R_4$  is selected from the group consisting of hydrogen, linear or branched  $C_{1-6}$  alkyl, hydroxyllinear or branched  $C_{1-6}$  alkyl,  $C_{6-10}$  arylalkyl, mono- or multi-substituted  $C_{6-10}$  arylalkyl, and heterocyclic group, wherein the substituents are defined as above; to be halogen,  $C_{1-4}$  linear or branched alkyl,  $C_{1-4}$  linear or branched alkoxy, nitro, amino, hydroxyl and carboxyl;

 $R_5$  is selected from the group consisting of hydrogen,  $C_{1-6}$  linear or branched alkyl,  $C_{6-10}$  arylalkyl, mono- or multi-substituted  $C_{6-10}$  arylalkyl, wherein the substituents are defined as above:

X is selected from the group consisting of pharmacologically acceptable organic or inorganic acid radical, wherein the organic acids include Lewis acid,

or R<sub>5</sub> and X do not co-exist; and

R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> and R<sub>5</sub> do not represent hydrogen at the same time, and

when  $R_2$ ,  $R_4$  and  $R_5$  are hydrogen,  $R_4$  does not represent methyl and  $R_3$  does not represent methoxy;

when R<sub>1</sub> is methyl, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> and R<sub>5</sub> do not represent hydrogen at the same time;

when R<sub>1</sub> is methyl, R<sub>2</sub> and R<sub>5</sub> are hydrogen, and R<sub>3</sub> is methoxy, R<sub>4</sub> is not methyl, ethyl or butyl;

when R<sub>1</sub>, R<sub>2</sub> and R<sub>5</sub> are hydrogen, R<sub>2</sub> is not C<sub>1-4</sub> linear or branched alkoxycarbonyl;

when R<sub>1</sub> is methyl, R<sub>2</sub> is hydrogen, and R<sub>3</sub> is linear or branched alkoxy, R<sub>4</sub> and R<sub>5</sub> do not represent hydrogen at the same time,

when  $R_1$ ,  $R_2$  and  $R_4$  are hydrogen,  $R_2$  is ethoxycarbonyl and X is trifluoromethylsilyl,  $R_5$  is not n-propyl, allyl, or ortho-, meta-, or p-fluorobenzyl; and at the same time

the following compound is compounds are excluded:

Ethyl 1-methyl-β-carboline-3-carboxylate,

Methyl-1-phenyl-β-carboline-3-carboxylate,

Methyl 1-(4-methoxy) phenyl-β-carboline-3-carboxylate,

β-Carboline-3-carboxylic acid,

3-Hydroxymethyl-β-carboline,

3-Amino-β-carboline,

3-[(Methoxycarbonyl)amino]-β-carboline,

3-[(Ethoxycarbonyl)amino]-β-carboline, Ethyl 9-methyl-β-carboline-3-carboxylate,

Ethyl 1,9-dimethyl-β-carboline-3-carboxylate,

Ethyl 9-benzyl-1-methyl-β-carboline-3-carboxylate, and.

## 9-Methyl-β-carboline.

- 2. (Canceled)
- 3. (Currently amended) The compound according to claim  $2\underline{1}$ , characterized in that  $R_1$  is selected from the group consisting of hydrogen,  $C_{1-4}$  linear or branched alkyl,  $C_{6-10}$  aryl- $C_{0-4}$  linear or branched alkyl, mono- or multi-substituted  $C_{6-10}$  aryl- $C_{0-4}$  linear or branched alkyl.
- 4. (Currently amended) The compound according to claim 3, characterized in that  $R_1$  is selected from the group consisting of hydrogen,  $C_{1-2}$  alkyl, phenyl- $C_{0-4}$  linear or branched alkyl, mono- or multi-substituted phenyl- $C_{0-4}$  linear or branched alkyl.
- 5. (Currently amended) The compound according to claim 4, characterized in that  $R_1$  is selected from hydrogen, methyl, phenyl, and mono- or multi-substituted phenyl.
- 6. (Canceled)
- 7. (Original) The compound according to claim 5, characterized in that  $R_1$  is hydrogen.
- 8. (Original) The compound according to claim 5, characterized in that  $R_1$  is methyl.
- 9. (Currently amended) The compound according to claim 1, characterized in that  $R_2$  is selected from the group consisting of hydrogen, carboxylic acid, carboxylic metal salts,  $C_{1-6}$  linear or branched alkoxycarbonyl,  $C_{6-10}$  aryl- $C_{1-6}$  linear or branched alkoxycarbonyl, mono- or multi-  $C_{6-10}$  aryl- $C_{1-6}$  linear or branched alkoxycarbonyl, and when  $R_2$  is a carboxylic metal salt,  $R_5$  and X are not present simultaneously.
- 10. (Currently amended) The compound according to claim 9, characterized in that  $R_2$  is selected from the group consisting of hydrogen, carboxylic acid, carboxylic metal salts,  $C_{1-4}$  linear or branched alkoxycarbonyl, phenyl- $C_{1-4}$  alkoxycarbonyl, mono- or multi-phenyl- $C_{1-4}$  alkoxycarbonyl, and when  $R_2$  is a carboxylic metal salt,  $R_5$  and X are not present simultaneously.
- 11. (Currently amended) The compound according to claim 10, characterized in that  $R_2$  is selected from the group consisting of hydrogen, carboxylic acid, carboxylic alkali metal salts,  $C_{1-2}$  alkoxycarbonyl, benzyloxycarbonyl, wherein the alkali metals refer to lithium, sodium, potassium, rubidium and cesium.

- 12. (Canceled)
- 13. (Canceled)
- 14. (Original) The compound according to claim 12, characterized in that R<sub>2</sub> is carboxylic acid.
- 15. (Canceled)
- 16. (Original) The compound according to claim 12, characterized in that  $R_2$  is ethoxycarbonyl.
- 17. (Currently amended) The compound according to claim 1, characterized in that  $R_3$  is selected from the group consisting of hydrogen, hydroxyl,  $C_{1-6}$  linear or branched alkoxy,  $C_{6-10}$  aryl- $C_{1-6}$  linear or branched alkoxy, and heterocyclic oxy group.
- 18. (Original) The compound according to claim 17, characterized in that  $R_3$  is selected from the group of hydrogen, hydroxyl, and  $C_{1-4}$  linear or branched alkoxy.
- 19. (Original) The compound according to claim 18, characterized in that  $R_3$  is selected from the group consisting of hydrogen and  $C_{1-2}$  alkoxy.
- 20. (Original) The compound according to claim 19, characterized in that R<sub>3</sub> is hydrogen.
- 21. (Currently amended) The compound according to claim 1, characterized in that  $R_4$  is selected from the group consisting of hydrogen,  $C_{1-6}$  linear or branched alkyl, hydroxyl- $C_{1-6}$  linear or branched alkyl, and mono- or multisubstituted  $C_{6-10}$  aryl- $C_{1-6}$  linear or branched alkyl.
- 22. (Currently amended) The compound according to claim 21, characterized in that  $R_4$  is selected from the group consisting of hydrogen,  $C_{1-4}$  linear or branched alkyl, hydroxyl- $C_{1-4}$  linear or branched alkyl, and mono- or multisubstituted  $C_{6-10}$  aryl- $C_{1-4}$  linear or branched alkyl.
- 23. (Currently amended) The compound according to claim 22, characterized in that  $R_4$  is selected from the group consisting of hydrogen,  $C_{1-4}$  linear or branched alkyl, hydroxyl- $C_{1-2}$  alkyl, phenyl- $C_{1-4}$  linear or branched alkyl, and mono- or multi-substituted phenyl- $(C_{1-4})$  linear or branched alkyl.

24. (Original) The compound according to claim 23, characterized in that $R_4$ is selected from the group consisting of hydrogen, $C_{1\text{-}4}$ linear or branched alkyl, phenyl- $C_{1\text{-}2}$ alkyl, and monoor multi-substituted phenyl- $C_{1\text{-}2}$ alkyl.
25. (Canceled)
26. (Canceled)
27. (Original) The compound according to claim 25, characterized in that R <sub>4</sub> is benzyl.
28. (Original) The compound according to claim 25, characterized in that R <sub>4</sub> is pentafluorobenzyl.
29. (Canceled)
30. (Canceled
31. (Canceled)
32. (Canceled)
33. (Canceled)
34. (Canceled)
35. (Canceled)
36. (Canceled)
37. (Original) The compound according to claim 1, characterized in that X is selected from the group consisting of halogen, nitroxyl, sulfuric acid group, sulfonic acid group, and phosphate group; or X is not present.
38. (Original) The compound according to claim 37, characterized in that X is halogen; or X is not present.
39. (Canceled)
40. (Original) The compound according to claim 38, characterized in that X is chloro.

- 41. (Original) The compound according to claim 38, characterized in that X is bromine.
- 42. (Original) The compound according to claim 38, characterized in that X is iodine.
- 43. (Currently amended) The compound according to claim 1, characterized in that R<sub>1</sub> is selected from the group consisting of hydrogen, C<sub>1-6</sub> linear or branched alkyl, C<sub>6-10</sub> aryl-C<sub>0-6</sub> linear or branched alkyl, mono- or multi-substituted C<sub>6-10</sub> aryl-C<sub>0-6</sub> linear or branched alkyl; R<sub>2</sub> is selected from the group consisting of hydrogen, carboxylic acid group, carboxylates, C<sub>1-6</sub> linear or branched alkoxycarbonyl, C<sub>1-6</sub> linear or branched alkoxycarbonyl, mono- or multi-C<sub>6-10</sub> aryl-C<sub>1-6</sub> linear or branched alkoxyearbonyl; R<sub>3</sub> is selected from the group consisting of hydrogen, hydroxyl, C<sub>1-6</sub> linear or branched alkoxy, C<sub>6-10</sub> aryl-C<sub>1-6</sub> linear or branched alkyl, hydroxyl- C<sub>1-6</sub> linear or branched alkyl, C<sub>6-10</sub> aryl-C<sub>1-6</sub> linear or branched alkyl, and mono- or multi-substituted C<sub>6-10</sub> aryl-C<sub>1-6</sub> linear or branched alkyl; R<sub>5</sub> is selected from the group consisting of hydrogen, C<sub>1-6</sub> linear or branched alkyl, C<sub>6-10</sub> aryl-C<sub>1-6</sub> linear or branched alkyl, mono- or multi-substituted C<sub>6-10</sub> aryl-C<sub>1-6</sub> linear or branched alkyl; X is selected from the group consisting of halogen, sulfonic acid group, sulfuric acid group, nitroxyl, and phosphate group; or R<sub>5</sub> and X do not co-exist simultaneously.
- 44. (Currently amended) The compound according to claim 43, characterized in that  $R_1$  is selected from the group consisting of hydrogen,  $C_{1-4}$  linear or branched alkyl,  $C_{6-10}$  aryl- $C_{0-4}$  linear or branched alkyl, mono- or multi-substituted  $C_{6-10}$  aryl- $C_{0-4}$  linear or branched alkyl;  $R_2$  is selected from the group consisting of hydrogen, carboxylic acid group, carboxylic alkali metal salts,  $C_{1-4}$  linear or branched alkoxycarbonyl,  $C_{6-10}$  aryl- $C_{1-4}$  linear or branched alkoxycarbonyl;  $R_3$  is selected from the group consisting of hydrogen, hydroxyl,  $C_{1-4}$  linear or branched alkoxy;  $R_4$  is selected from the group consisting of hydrogen,  $C_{1-4}$  linear or branched alkyl, hydroxyl- $C_{1-4}$  linear or branched alkyl, and mono- or multisubstituted  $C_{6-10}$  aryl- $C_{1-4}$  linear or branched alkyl;  $R_5$  is selected from the group consisting of hydrogen,  $C_{1-4}$  linear or branched alkyl, mono- or multisubstituted  $C_{6-10}$  aryl- $C_{1-4}$  linear or branched alkyl;  $R_5$  is selected from the group consisting of hydrogen,  $R_{1-4}$  linear or branched alkyl, mono- or multi-substituted  $R_{1-10}$  aryl- $R_{1-10}$  linear or branched alkyl;  $R_5$  is selected from the group consisting of halogen, sulfuric acid group, sulfonic acid group, nitroxyl; or  $R_5$  and  $R_$
- 45. (Currently amended) The compound according to claim 44, characterized in that  $R_1$  is selected from the group consisting of hydrogen,  $C_{1-2}$  alkyl, phenyl- $C_{0-2}$ -alkyl, mono- or multisubstituted phenyl- $C_{0-2}$  alkyl;  $R_2$  is selected from the group consisting of hydrogen, carboxylic acid group, carboxylic alkali metal salts,  $C_{1-2}$  alkoxycarbonyl;  $R_3$  is selected from the group consisting of hydrogen, hydroxyl, and  $C_{1-2}$  alkoxy;  $R_4$  is selected from the group consisting of hydrogen,  $C_{1-4}$ -linear or branched alkyl, phenyl-  $C_{1-2}$  alkyl, and mono- or multi-substituted

phenyl- $C_{1-2}$  alkyl;  $R_5$  is selected from the group consisting of hydrogen,  $C_{3-4}$  linear or branched alkyl, phenyl- $C_{1-2}$  alkyl, mono- or multi-substituted phenyl- $C_{1-2}$  alkyl; X is halogen; or  $R_5$  and X do not co-exist simultaneously.

- 46. (Currently amended) The compound according to claim 45, characterized in that  $R_1$  is selected from the group consisting of hydrogen, methyl, phenyl, mono- or multi-substituted phenyl;  $R_2$  is selected from the group consisting of hydrogen, carboxylic acid group, sodium or potassium—carboxylate, and ethoxycarbonyl;  $R_3$  is selected from the group consisting of hydrogen, hydroxyl, and  $C_{1-2}$  alkoxy;  $R_4$  is selected from the group consisting of hydrogen, ethyl, benzyl, and pentafluorobenzyl;  $R_5$  is selected from the group consisting of hydrogen, linear or branched butyl, benzyl, and pentafluorobenzyl;  $R_5$  is selected from the group consisting of chloro, bromine and iodine; or  $R_5$  and X do not co-exist simultaneously.
- 47. (Currently amended) The compound according to claim 46, wherein R<sub>1</sub> is hydrogen or methyl; R<sub>2</sub> is carboxylic acid group, sodium—carboxylate, or ethoxycarbonyl; R<sub>3</sub> is hydrogen; R<sub>4</sub> is butyl or benzyl; R<sub>5</sub> is hydrogen or benzyl; X is chloro or bromine; or R<sub>5</sub> and X do not coexist simultaneously.
- 48. (Original) The compound according to claim 1, wherein  $R_1$  is hydrogen;  $R_2$  is ethoxycarbonyl;  $R_3$  is hydrogen;  $R_4$  is benzyl;  $R_5$  is hydrogen; and X is chloro.
- 49. (Original) The compound according to claim 1, wherein  $R_1$  is hydrogen;  $R_2$  is ethoxycarbonyl;  $R_3$  is hydrogen;  $R_4$  is benzyl;  $R_5$  and X do not co-exist simultaneously.
- 50. (Original) The compound according to claim 1, wherein  $R_1$  is methyl;  $R_2$  is ethoxycarbonyl;  $R_3$  is hydrogen;  $R_4$  is pentafluorobenzyl;  $R_5$  is hydrogen, and X is chloro.
- 51. (Original) The compound according to claim 1, wherein  $R_1$  is methyl;  $R_2$  is ethoxycarbonyl;  $R_3$  is hydrogen;  $R_4$  is pentafluorobenzyl; and X do not co-exist simultaneously.
- 52. (Canceled)
- 53. (Canceled)
- 54. (Canceled)
- 55. (Canceled)
- 56. (Canceled)

```
57. (Canceled)
```

58. (Canceled)

59. (Canceled)

60. (Canceled)

61. (Currently amended) The compound according to claim 1, which is selected from the group consisting of the following compounds or pharmacologically acceptable salts thereof:

9-Hydroxyethyl-7-methoxy-β-carboline;

9-Benzyl-7-methoxy-β-carboline;

9-(2',3',4',5',6'-Pentafluoro)benzyl-7-methoxy-β-carboline;

9-Phenypropyl-7-methoxy-β-carboline;

Ethyl 1-ethyl-β-carboline-3-carboxylate;

Ethyl 1-n-propyl-β-carboline-3-carboxylate;

Methyl 1-(4-hydroxyphenyl)-β-carboline-3-carboxylate;

3-Acetyloxomethyl-β-carboline;

Methyl 9-methyl-β-carboline-3-carboxylate;

Methyl 9-ethyl-β-carboline-3-carboxylate;

Methyl 9-butyl-β-carboline-3-carboxylate;

Methyl 9-benzyl-β-carboline-3- carboxylate;

Ethyl 9-ethyl-β-carboline-3-carboxylate;

Ethyl 9-butyl-β-carboline-3-carboxylate;

Ethyl 9-benzyl-β-carboline-3-carboxylate;

Ethyl 9-(2',3',4',5',6'-pentafluoro)benzyl-β-carboline-3-carboxylate;

Butyl 9-phenylpropyl-β-carboline-3-carboxylate;

Butyl 9-acetophenone-β-carboline-3-carboxylate;

Butyl 9-methyl-β-carboline-3-carboxylate;

Butyl 9-ethyl-β-carboline-3-carboxylate;

Butyl 9-benzyl-β-carboline-3-carboxylate;

Benzyl 9-benzyl-β-carboline-3-carboxylate;

9-Benzyl-3-hydroxymethyl-β-carboline;

9-Benzyl-3-acetyloxomethyl-β-carboline;

3-Carbohydrazide-9-ethyl-β-carboline;

3-Carbohydrazide-9-benzyl-β-carboline;

3-[(Methoxycarbonyl)amino]-9-ethyl-β-carboline;

3-[(Ethoxycarbonyl)amino]-9-ethyl-β-carboline;

3-[(Ethoxycarbonyl)amino]-9-benzyl-β-carboline;

Ethyl 9-ethyl-1-methyl-β-carboline-3-carboxyate;

Ethyl 9-butyl-1-methyl-β-carboline-3-carboxylate;

Ethyl 9-(2',3',4',5',6'-pentafluoro)benzyl-1-methyl-β-carboline-3- carboxylate;

Ethyl 9-phenylpropyl-1-methyl-β-carboline-3-carboxylate;

Ethyl 9-acetophenone-1-methyl-β-carboline-3-carboxylate;

Ethyl 1-propyl-9-methyl-β-carboline-3-carboxylate;

Ethyl 1-propyl-9-ethyl-β-carboline-3-carboxylate;

Ethyl 9-benzyl-1-propyl-β-carboline-3-carboxylate;

Ethyl 9-phenylpropyl-1-propyl-β-carboline-3-carboxylate;

Methyl 1-phenyl-9-methyl-\(\beta\)-carboline-3-carboxylate and

Methyl 1-phenyl-9-ethyl-β-carboline-3-carboxylate.

- 62. (Original) The compound according to claim 61, the pharmacologically acceptable salt thereof being hydrochloride salt.
- 63. (Currently amended) The compound according to claim 1, which is selected from the group consisting of the following compounds or pharmacologically acceptable carboxylates thereof:
- 9-Methyl-β-carboline-3-carboxylic acid;
- 9-Ethyl-β-carboline-3-carboxylic acid;
- 9-Butyl-β-carboline-3-carboxylic acid;
- 9-Benzyl-β-carboline-3-carboxylic acid;
- 9-(2',3',4',5',6'-Pentafluoro)benzyl-β-carboline-3-carboxylic acid;
- 9-Phenypropyl -β-carboline-3-carboxylic acid;
- 9-Acetophenone-β-carboline-3-carboxylic acid;
- 9-Methyl-1-methyl-β-carboline-3-carboxylic acid;
- 9-Ethyl-1-methyl-β-carboline-3-carboxylic acid;
- 9-Butyl-1-methyl-β-carboline-3-carboxylic acid;
- 9-Benzyl-1-methyl-β-carboline-3-carboxylic acid;

- 9-(2',3',4',5',6'-Pentafluoro)benzyl-1-methyl-β-carboline-3- carboxylic acid;
- 9-Phenylpropyl-1-methyl-β-carboline-3-carboxylic acid;
- 9-Acetophenone-1-methyl-β-carboline-3-carboxylic acid;
- 1-Propyl-9-methyl-β-carboline-3-carboxylic acid;
- 1-Propyl-9-ethyl-β-carboline-3-carboxylic acid;
- 9-Benzyl-1-propyl-β-carboline-3-carboxylic acid;
- 9-Phenylpropyl-1-propyl-β-carboline-3-carboxylic acid;
- 1-Phenyl-9-methyl-β-carboline-3-carboxylic acid and
- 1-Phenyl-9-ethyl-β-carboline-3-carboxylic acid.
- 64. (Original) The compound according to claim 63, wherein the carboxylate is a carboxylic metal salt.
- 65. (Canceled)
- 66. (Canceled)
- 67. (Currently amended) The compound according to claim <u>64</u> <del>65</del>, wherein the <del>alkali</del> metal is Na.
- 68. (Currently amended) The compound according to claim <u>64</u> <del>65</del>, wherein the <del>alkali</del> metal is K.
- 69. (Canceled)
- 70. (Canceled)
- 71. (Canceled)
- 72. (Canceled

- 73. (Canceled)
- 74. (Canceled)
- 75. (Canceled)
- 76. (Previously presented) A pharmaceutical composition for treating tumors, comprising as an active ingredient at least one therapeutically effective amount of a compound of formula I according to claim 1, alone or combined with one or more pharmaceutically acceptable, inert and non-toxic excipients or carriers.
- 77. (Previously presented) Use of a compound of claim 1 in the manufacture of a medicament for treating tumors.
- 78. (Original) The use according to claim 77, wherein the tumors refer to alimentary tract tumors, including oral carcinoma, oesophagus cancer, gastric carcinoma, liver cancer and intestinal cancer tumors.
- 79. (Original) The use according to claim 77, wherein the tumors refer to the lung cancer tumors.
- 80. (Canceled)
- 81. (Canceled)
- 82. (Canceled)
- 83. (Original) The use according to claim 77, wherein the tumors refer to the cervical carcinoma tumors.
- 84. (Previously presented) The use of a compound of claim 1 in the manufacture of a medicament combined with phototherapy and radiation therapy for treating tumors.